



Duke  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: **Dutta et al.**

Serial No.: **09/833,347**

Filed: **July 16, 2001**

For: **Method and Apparatus for Incorporating Scanned Checks into Financial Applications**

**35525**

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

§ Group Art Unit: **2161**  
§  
§ Examiner: **Unknown**  
§  
§ Attorney Docket No.: **AUS920010214US1**  
§

**Certificate of Mailing Under 37 C.F.R. § 1.8(a)**

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 20, 2005.

By:

Louise Fay

**TRANSMITTAL DOCUMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AA-AH; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

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ATTORNEY FOR APPLICANTS



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### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Date: 7/20/05

Respectfully submitted,

  
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Form PTO-1449  LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)			ATTORNEY DOCKET NO. <b>AUS920010214US1</b>	SERIAL NO. <b>09/833,347</b>	
			APPLICANT <b>Dutta et al.</b>		
			FILING DATE <b>July 16, 2001</b>	GROUP ART UNIT <b>2161</b>	
<b>U.S. PATENT DOCUMENTS</b>					
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	AA 2002/0145035 A1	Oct. 10, 2002	Jones	235/379	Apr. 10, 2001
	AB 5,677,955	Oct. 14, 1997	Doggett et al.	380/24	Apr. 7, 1995
	AC 6,189,785 B1	Feb. 20, 2001	Lowery	235/379	Apr. 14, 1998
	AD 6,754,640 B22	Jun. 22, 2004	Bozeman	705/40	Oct. 23, 2001
<b>FOREIGN PATENT DOCUMENTS</b>					
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
<b>OTHER PRIOR ART</b> (including author, title, date, pertinent page, etc.)					
	AE	Ramster, "End of the Paper Chase", Banking Technology, Vol. 14, No. 6, July/August 1997, pp. 32-36			
	AF	O'Brien, "The Changing Face of ATM Networks", Bank Systems & Technology, July 2000, 37, 7; ABI/INFORM Global, pg. 38.			
	AG	Anderson, "Checking In, Checking Out", Columbian, Vancouver, Washington, March 1999, pg. e.1-5.			
	AH	"AT&T Global's Check Image Feature Expected to Boost Deposits at ATMs", American Banker, V. CLIX, No. 236, December 1994, Pg. 12.			
<b>RELATED PATENT APPLICATIONS</b>					
EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE	
<b>DATE CONSIDERED</b>			<b>EXAMINER</b>		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					